



DT07 Rec'd / PTO 03 NOV 2004

PCT  
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

HANS LAUTENSCHLAGER ET AL.

Group Art Unit: Unknown

Examiner: Unknown

Serial No.: 10/506,657 (Informal)

Filed: September 3, 2004

For: USE OF SILOXANE COPOLYMERS COMPRISING  
ALKENYL GROUPS AS ANTIMISTING ADDITIVES IN  
CROSS-LINKABLE SILICONE COATING COMPOSITIONS

Attorney Docket No.: WAS 0652 PUSA

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(b)**

Mail Stop Amendment  
Commissioner for Patents  
U.S. Patent & Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(b).

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8**

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:

11/01/2004  
Date of Deposit

William G. Conger  
Name of Person Signing

[Signature]  
Signature

While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

Accompanying the Information Disclosure Statement is the Statement of Dr. Maria Deffner-Lehner describing the content of the references cited in the Information Disclosure Statement.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

**HANS LAUTENSCHLAGER ET AL.**

By: 

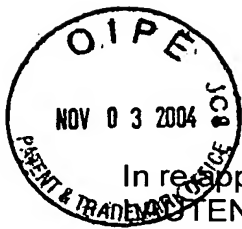
William G. Conger

Reg. No. 31,209

Attorney for Applicant

Date: November 1, 2004

**BROOKS KUSHMAN P.C.**  
1000 Town Center, 22nd Floor  
Southfield, MI 48075-1238  
Phone: 248-358-4400  
Fax: 248-358-3351



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of  
JOHANNES TENSCHLAGER et al.

Serial Number

Filed:

For:

Group Art Unit:

USE OF ALKENYL-FUNCTIONAL SILOXANE COPOLYMERS AS ANTIMISTING  
ADDITIVES IN CROSSLINKABLE SILICONE COATING COMPOSITIONS

Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir or Madam:

I, Maria Deffner-Lehner, associated with the preparation and prosecution of the above-identified application, residing at Meisenweg 12, 85591 Vaterstetten, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed application and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

EP-A 0 716 115 is already in English language.

EP-A 1 277 786 the corresponding US 2003055194 A1 is enclosed, which is in English language.

EP-A 0 439 778 the corresponding CA 2035401 A1 is enclosed, which is in English language.

EP-B 0 523 660 the corresponding CA 2073813 A1 is enclosed, which is in English language.

EP-B 0 607 869 the corresponding CA 2112933 A1 is enclosed, which is in English language.

EP-B 0 439 777 the corresponding CA 2035396 A1 is enclosed, which is in English language.

WO-A 01 98420 is already in English language.

DE-A 41 23 423 a Derwent abstract in English language is enclosed.

DE-A 195 22 144 a Derwent abstract in English language is enclosed.

DE-A 196 29 053 a Derwent abstract in English language is enclosed.

US-A 5,241,034 is already in English language.

US-A 5,760,145 is already in English language.

US-A 6,265,497 is already in English language.

US-A 5,691,435 is already in English language.

US 6,034,225 is already in English language.

US 6,258,913 is already in English language.

US 6,274,692 is already in English language

Signed this <sup>28</sup> day of October, 2004.



Maria Deffner-Lehner

**Complete if Known**

**Application Number** 10/506,657 (Informal)

<b>Filing Date</b>	September 3, 2004
--------------------	-------------------

First Named Inventor	Hans Lautenschlager et al.
----------------------	----------------------------

Group Art Unit	Unknown
----------------	---------

Examiner Name	Unknown
---------------	---------

Attorney Docket Number	WAS 0652 PUSA
------------------------	---------------

1	of	2
---	----	---

1

of

2

Attorney Docket Number

[illegible]

FOREIGN PATENT DOCUMENTS								
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
		DE	41 23 423 A1		Wacker-Chemie	01-21-1993		
		DE	195 22 144 A1		Wacker Chemie	01-02-1997		
		DE	196 29 053 A1		Wacker-Chemie	01-22-1998		
		EP	0 439 777 B1		Wacker-Chemie	08-07-1991		
		EP	0 439 778 A2		Wacker-Chemie	08-07-1991		
		EP	0 523 660 B1		Wacker-Chemie	07-15-1991		
		EP	0 607 869 B1		Wacker-Chemie	07-27-1994		
		EP	0 716 115 A2		Dow Corning Corp.	06-12-1996		
		EP	1 277 786 A1		Wacker-Chemie	01-22-2003		
		WO	01/98420 A2		Dow Corning Corp.	12-27-2001		

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/506,657 (Informal)
				Filing Date	September 3, 2004
				First Named Inventor	Hans Lautenschlager et al.
				Group Art Unit	Unknown
				Examiner Name	Unknown
Sheet	2	of	2	Attorney Docket Number	WAS 0652 PUSA
<b>OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>
		Derwent Abstract Corresponding to DE 195 22 144 A1			
		Derwent Abstract Corresponding to DE 196 29 053 A			
		Derwent Abstract Corresponding to DE 412 23 423 A1			

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.  
<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.